

Frequently Asked Questions:

The following are some of the questions most frequently asked by investors concerning the recently posted claim form. We will continue to update this list as other questions arise.

If I invested in an earlier project and I received back all of my funds from that investment do I have to disclose this?

Yes. You must disclose both the investment and the subsequent distribution. Although the Court has not ruled on which formula the Receiver must use to calculate claims, it is important for the Receiver to have an accurate picture of your entire investment experience.

What if I took money out and then re-invested it?

You must disclose the original principal investment as well as any distribution of that principal plus any interest you may have received. If you made a subsequent investment of these funds, you must then list the amount and date of that investment.

What should I do if many of my investments took place a number of years ago and I no longer remember or have records on how much I invested or when?

You may need the assistance of your bank to provide you with statements for the approximate period in time you believe you made your investment(s). A review of the prior bank statements for this period may help you remember when and how much you invested. It is important to note that the claim form acts as a sworn statement and is punishable by perjury. The Judge has cautioned that any individual who knowingly files a false claim will be dealt with appropriately. Accordingly, you must be forthright and accurate in filling out your claim form. To the extent you cannot locate the exact amounts of your investment after a diligent search, please let us know that you are aware of previous dealings, but cannot locate the records.

What do I do if my bank does not customarily provide me with my cancelled checks?

Most banks are typically required to keep an electronic copy of your cancelled checks for seven years. If you know the date and amount of the check in question, you should ask your bank to research the check and provide you with a copy of both the front and back sides of the check.¹

Why is the back side of the check important?

Some banks provide their customers with a copy of the front of the check along with their monthly statements. While the front of the check shows the payee and the date the check was drafted, it does not show how and when the check was actually negotiated. This information is found on the back of a cancelled checks by examining the stamps placed there by the institutions that were responsible for negotiating and honoring the check. This information helps identify the account where your funds were actually deposited and may help us locate a previously unknown account.

¹ Some banks may charge a small fee for this service.

What if my bank no longer maintains a copy of the check I wrote to make my investment? Will a copy of the original contract showing the amount invested and/or a copy of the account statement be accepted as proof of my investments?

While helpful, copies of your contract and or account statements from American Enterprises Inc., Worldwide Entertainment or The Entertainment Group Fund are **not** the best evidence of your claim. It is therefore important that you make every effort to obtain independent documentation to back-up your claim.

What other form of backup documentation is acceptable? What about letters from AEI, WWE, etc. acknowledging receipt of my funds.

As mentioned previously, documentation of this sort is helpful but may not provide the best evidence of your investment. In the event there is a discrepancy between your claim and the Receiver's records, this documentation will help us resolve any discrepancies.

What if I didn't pay by check?

Banks also maintain wire-transfer and other transaction records for a number of years depending on the regulations of the state your bank is located in. This document is usually not provided to an investor at the time of his/her transaction. Please ask your bank or credit union to provide you with a copy of the wire confirmation or transaction slip. Similar to a cancelled check, wire transfer records will help us verify your claim more quickly by telling us which account your funds were sent to.

If I invested in an IRA account in addition to my regular investment, do I need to complete two claim forms?

No. Simply list both investments on the same form in chronological order.

If I had an investment in my name alone as well as a joint investment with my spouse, do I need to complete a second claim form for the joint investment?

Yes. Please list on a separate claim form, all investments in which you were listed as a co-account holder with another.

What if I invested through a trust? Does that need to be on a separate claim form?

Yes. If the investment was made in the name of a trust or was held jointly with another investor such as a family member (excludes custodial accounts for minor children), you should complete separate claim forms.

My IRA funds transferred hands several times? How do I describe my IRA account history?

Please only list each new principal investment you made. For example, if you rolled an IRA that was previously held by Alliance Capital into an IRA with American National Pension Services ("ANPS") and it was later transferred to Pilot Retirement Service ("PRS"), you need only list the initial transfer from Alliance to ANPS.

With respect to a self-directed IRA account, would a statement from the custodian be acceptable as proof of claim, in lieu of a copy of the check or wire transfer?

The transfer record itself, typically a wire transfer, is the preferred form of documentation for IRA investments. However, if the transfer record is unavailable please provide any other documents you have which you believe support your claim.

What do I do if the IRA custodian that transferred my IRA money to ANPS or Pilot Retirement Services is no longer in business?

Please do your best to provide any other documents which support your claim.

What happens if I cannot obtain the required back-up documentation before the December 4, 2006 claims bar date?

You should submit your claim form prior to the claims bar date with all of the documentation you have been able to gather. You may supplement your documentation after you submit your claim.

I filled out and submitted an affidavit explaining the source of funds held by 1st Source. Do I still need to submit a claim to the Receiver?

Yes. The 1st Source affidavit is strictly meant to trace the source of the interpled funds held by 1st Source and cannot be used as a substitute for the claim form.

Will I receive a written confirmation that my claim has been received?

If you wish to confirm that the Receiver has received your claim form in the mail, simply call or e-mail our office and one of our staff members will be happy to assist you. Written confirmation of the validity of your claim will be sent once the Receiver and his staff has reviewed your claim and verified it against the books and records of the Receivership Entities. Please be advised that this process may take several months given the number of investors we anticipate will file claims.

I downloaded a copy of the Claim Form from the Receiver's Website and already completed it and mailed it in. I have since received another copy of the claim form in the mail. Do I need to fill it out again and resubmit it?

No. Copies of the Claim Form were mailed to each investor after it was made available on the Receiver's website. This was done to ensure that all investors were given an equal opportunity to receive notice and file their claims. Many investors chose to proceed with filing of their claims before this mailing took place. Because the forms are identical, there is no need to resubmit your claim with the Receiver.

The Claims Bar Date has passed and I did not receive confirmation from the Receiver as to whether or not my claim was received timely. Should I resubmit my claim form just to make sure you have it on file?

If you have already sent your claim form to the Receiver there is no need to resubmit it. You can obtain confirmation of the receipt of your claim form by calling the Receiver's office at (800) 223-2234 and leaving your name and daytime contact information in our general mailbox. Alternatively, you can email the Receiver at worldwide@akerman.com requesting confirmation. One of our representatives will get back to you shortly either by telephone or email.

What can I expect after my claim form has been filed?

The Receiver and his staff will begin a lengthy review process of all claims and verify the information provided by each investor against the books and records of the Receivership Entities. Should additional information be required to substantiate your claim, you will be contacted by one of our representative either by phone or in writing. Once all claims have been verified, and the Court has determined the method the Receiver should utilize to calculate claims, the Receiver will be prepared to make an initial distribution to investors. Please keep in mind that the claims review process can take up to 6 months to complete. Therefore, we appreciate your patience during this very critical stage.